Express Mail Mailing Label No. ED298029868US **Application Serial Number** 10/671,317 Filing Date September 25, 2003 First Named Inventor Oppermann Group Art Unit 1644 TRANSMITTAL Examiner Name Not yet assigned **FORM** STK-010C3 (7557/18) Attorney Docket No. Patent No. Not applicable Issue Date Not applicable ENCLOSURES (check all that apply) Copy of Notice to File Missing Fee Transmittal Form X Notice of Appeal to Board Parts of Application of Patent Appeals and Interferences Check Attached Appeal Brief (in triplicate) Copy of Fee Formal Drawing(s) Transmittal Form  $\boxtimes$ Request For Continued Amendment/Response Status Inquiry Examination (RCE) Transmittal ☐ Preliminary After Final  $\boxtimes$ Return Receipt Postcard Affidavits/declaration(s) Power of Attorney (Revocation of Prior Powers) Certificate of First Class Mailing Letter to Official under 37 C.F.R. 1.8 Draftsperson including Drawings Terminal Disclaimer Certificate of Facsimile [Total Sheets \_\_\_\_] Transmission under 37 C.F.R. 1.8  $\boxtimes$  $\boxtimes$ Additional Enclosure(s) Petition for Extension of **Executed Declaration and Power** (please identify helow) Time of Attorney for Utility or Design Patent Application Information Disclosure Small Entity Statement Copy of Petition for Extension Statement of Time Form PTO-1449 Copies of IDS CD(s) for large table or computer Citations program Certified Copy of Priority Amendment After Allowance Document(s) Request for Certificate of  $\boxtimes$ Sequence Listing submission Correction Paper Copy/CD Certificate of Correction (in Computer Readable Copy duplicate) Statement verifying identity of above SIGNATURE BLOCK **CORRESPONDENCE ADDRESS** Respectfully submitted, Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP Date: November 5, 2004 for Christine Vit 125 High Street Boston, MA 02110 Christine C. Vito Reg. No. 39,061 Tel. No.: (617) 248-7000 Atty/Agent for Applicant(s) Tel. No.: (617) 248-7368 Fax No.: (617) 248-7100 Fax No.: (617) 248-7100 Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street

Boston, MA 02110

Express Mail Mailing Label No.: ED298029868US

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Complete if Known				
Application Serial Number	10/671,317			
Filing Date	September 25, 2003			
First Named Inventor	Oppermann			
Group Art Unit	1644			
Examiner Name	Not yet assigned			
Attorney Docket No.	STK-010C3 (7557/18)			

METHOD OF PAYMENT	FEE CALCULATION (continued)				
1. A Payment Enclosed:	3. ADDITIONAL FEES				
☐ Check ☐ Money Order ☐ Other	Large	Small			
	Entity	Entity	For Description	Fee Paid	
2. A The Commissioner is hereby authorized to credit	Fee	Fee	Fee Description	ree raid	
or charge any fee indicated below for this submission	<b>(S)</b>	<b>(S)</b>			
to Deposit Account No. 20-0531.	130	65	Surcharge - late filing fee or oath	130.00	
Required Fees (copy of this sheet enclosed).  Additional fee required under 37 CFR 1.16 and	50	25	Surcharge - late provisional filing fee	150.00	
1.17.	50	2.5	or cover sheet	i i	
Overpayment Credit.	130	130	Non-English specification		
3. Applicant claims small entity status.	2,520	2,520	Request for ex parte reexamination		
FEE CALCULATION	110	55	Extension for reply within first month		
1. FILING FEE	430	215	Extension for reply within second		
			month		
Large Entity	980	490	Extension for reply within third month		
Fee (\$) Fee Description Fee Paid	1530	765	Extension for reply within fourth	1,530.00	
	2080	1040	month	<u> </u>	
790 Utility filing fee 790.00	2080 340	1040	Extension for reply within fifth month Notice of Appeal		
350 Design filing fee	340	170	Filing a brief in support of an appeal		
160 Provisional filing fee	340	170	Request for oral hearing		
	130	130	Petitions to the Commissioner		
	180	180	Submission of Information Disclosure		
Number Number Rate Amount	<b>500</b>	205	Statement		
Filed Extra	790	395	Filing a submission after final rejection (37 CFR 1.129(a))		
Total Claims $-20 = x \$ 18.00 =$	790	395	For each additional invention to be	1	
Independent	100	100	examined (37 CFR 1.129(b)) Certificate of Correction for		
Claims -3 = x \$ 88.00 =	100	100	applicant's error		
	110	55	Submission of Terminal Disclaimer		
☐ Multiple Dependent Claim(s), if any \$300.00 =	Other fee (Specify)				
	Other fee (Specify)				
TOTAL: \$ 0 SMALL ENTITY DISCOUNT: \$ 0					
SUBTOTAL (1) (\$) \$ 790.00					
2. AMENDMENT CLAIM FEES					
Claims Highest No. Present Rate Fee Paid	SUBTOTAL (3) (\$) 1,660.00				
Remaining Previously Extra	(5)				
After Amend. Paid For					
Total - = x \$ 18.00 -			SUBTOTAL (1)	\$ 790.00	
Indep. = x \$ 88.00 =	SUBTOTAL (2) \$0				
First Presentation of Multiple Dep. + \$300.00 =	SUBTOTAL (3) \$1,660.00				
Claim				L	
TOTAL: (\$) SMALL ENTITY DISCOUNT: (\$)					
SMALL ENTITY DISCOUNT: (\$) SUBTOTAL (2) (\$)	TOTAL (C) 1.660.00				
30B101AL(2) (3)	TOTAL (\$) 1,660.00				
CORRESPONDENCE ADDRESS	M SIGNATURE BLOCK				
Direct all correspondence to:	Beancotfully submitted				
Patent Administrator	Date: November 5, 2004  Respectfully submitted,  Christine Vin				
Testa, Hurwitz & Thibeault, LLP	Reg. No.: 39,061 Christine C. Vito				
125 High Street	Tel. No.: (617) 248-7368 Attorney for the Applicants				
Boston, MA 02110	Fax No.: (617) 248-7100 Testa, Hurwitz & Thibeault, LLP				
Tel. No.: (617) 248-7000	125 High Street				
Fax No.: (617) 248-7100			Boston, MA 02110		
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## Express Mailing Label No.: ED298029868US



United States Patent and Trademark Office

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\*OC000000012533817\*

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/671,317

09/25/2003

Hermann Oppermann

STK-010C3

021323 TESTA, HURWITZ & THIBEAULT, LLP HIGH STREET TOWER 125 HIGH STREET BOSTON, MA 02110



**CONFIRMATION NO. 5651 FORMALITIES LETTER** 

Date Mailed: 05/05/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

AUGUEUUN BOORDA 130°00 12

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by tiling a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$710 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1610 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$710
  - \$258 for 3 independent claims over 3.
  - \$162 for 9 total claims over 20.
  - \$290 for multiple dependent claim surcharge.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE